

For the month ended

Monthly Return of Equity Issuer on Movements in Securities

(dd/mm/yyyy) :		30/09	/2016		
To : Hong Kong Exchanges	and Clea	aring Limi	ted		
Name of Issuer	Essex E	Bio-Techr	ology Lim	ited	
Date Submitted	05/10/2	016			
I. Movements in Authorised	Share Ca	apital			
1. Ordinary Shares					
(1) Stock code : 1061	_Descri	otion :	Essex Bi	o-Technolog	y Limited
			ordinary ares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding	g month	1,000,00	0,000	HK\$0.10	HK\$100,000,000
Increase/(decrease)		NIL			NIL
Balance at close of the mon	th	1,000,00	0,000	HK\$0.10	HK\$100,000,000
(2) Stock code : N/A	_Descri	otion :	N/A		
			ordinary ares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding	g month				
Increase/(decrease)					
Balance at close of the mon	th				

2. Preference Shares				
Stock code : N/A Descrip	tion :	N/A		
	prefe	o. of rence ares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month_				
Increase/(decrease)				
Balance at close of the month				
3. Other Classes of Shares				
Stock code : N/A Descrip	otion :	N/A		
	class	f other ses of ares	Par value (State currency)	capital
Balance at close of preceding month				
Increase/(decrease)				
Balance at close of the month				
Total authorised share capital at the e currency):	end of the	e month (State HK\$10	00,000,000

II. Movements in Issued Share Capital

	No. of ordir	nary shares	No of preference	e No. of other
	(1)	(2)	shares	classes of shares
Balance at close of preceding month	559,541,000	N/A	N/A	N/A
Increase/ (decrease) during the month	0	N/A	N/A	N/A
Balance at close of the month	559,541,000	N/A	N/A	N/A

III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Particulars of share option scheme scheme including EGM approval date (dd/mm/yyyy) and class of shares of shares of the month approval date (dd/mm/yyyy) and class of shares (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month approval date (Created as Eugraphic Content of the month appro

approval date		Movement duri	ng the month		tnereto	thereto as at close of the month
(dd/mm/yyyy) and						the month
class of shares	_					
issuable	Granted	Exercised	Cancelled	Lapsed		
1. Share						
Option Scheme						
approved at						
the AGM						
(3/5/2013)	0	0	0	0	0	10 200 000
Ordinary Shares (Note 1)	0	0			U	19,209,000
(Note 1)						
2. N/A						
(/ /)						
shares						
(Note 1)						
3. N/A						
(/ /)						
shares (Note 1)						
(Note 1)		Tots	al A. (Ordina	ry shares)	0	
		1018		ce shares)	N/A	
				ther class)	N/A	_
Total funds raised d	uring the mo	onth from exerc				
of options (State cu				0		
1						

Description of warrants (Date of expiry - dd/mm/yyyy) 1. N/A	Currency of nominal value	Nomina at clo prece	al value se of eding	Exercised during the month	Nominal value at close of the month	•	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. IVA							
(/ /) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date							
(if applicable) (dd/mm/yyyy)	(/ /)					
2. N/A		,					
Class of shares							
issuable (Note 1) Subscription price EGM approval date (if applicable)							
(dd/mm/yyyy) 3. N/A	(/ /)					
							-
Class of shares							
EGM approval date (if applicable)							
(dd/mm/yyyy) 4. N/A	(/ /)					
(/ /) Stock code (if listed)							
· · · · · · · · · · · · · · · · · · ·							
Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	(/ /)					
			Total B.	(Preferen	ary shares) ce shares) ther class)	N/A N/A N/A	- -

Convertibles (i.e. Convertible	e into Shares of the	e Issuer which	ch are to be Liste	ed)		
Class and description	Currency of amount outstanding	Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. Convertible Loan						
(see Remarks)						
		0	Nil	0	NEI	0E 400 700
Stock code (if listed)	HK\$ N/A	0		0	Nil	25,423,728
Class of shares issuable (Note 1) Subscription price EGM approval date	Ordinary Shares HK\$5.90	<u> </u>				
(if applicable) (dd/mm/yyyy)) 2. N/A	Not applicable					
	<u> </u>					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 3. N/A	(/ /)	_				
Stock code (if listed) Class of shares	_ _ 		_			
issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy) 4. N/A	(/ /)	<u> </u>				
Stock code (if listed) Class of shares	 					
issuable (Note 1) Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	(/ /)	_				
		_		ry shares) ce shares) ther class)		-

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Full	I particulars including EGM approval date (dd/mm/yyyy), pplicable, and class of shares issuable:	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1	N/A		
-			
	(/ /)		
-	shares (Note 1)		
2	N/A		
	14/7		
] -			
-	shares (Note 1)		
-	Shares (Note 1)		
3.	N/A		
-			
-			
	shares (Note 1)		
]	T (1 D (0 E	N1/A	
-	Total D. (Ordinary shares) (Preference shares)	N/A N/A	
-	(Other class)	N/A N/A	
	(Other stass)	13//3	·

Ot	her Movements Type of Issue	s in issued Sha	аге Сарітаі				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1.	Rights issue	At price :	State currency	Class of shares issuable (Note 1) Issue and allotment date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	(/ /		_ N/A	N/A
2.	Open offer	At price :	State currency	Class of shares issuable (Note 1) Issue and allotment date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	(/ /		N/A	N/A
3.	Placing	At price :	State	Class of shares issuable (Note 1) Issue and allotment date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy))	N/A	N/A
4.	Bonus issue			Class of shares issuable (Note 1) Issue and allotment date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)	(/ /)	N/A	N/A

	Type of Issue						No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	- 7/			Class of shares				
			State ——	issuable (Note 1)	(/ /	١		
5.	Scrip dividend	At price :	State currency	Issue and allotment date : (dd/mm/yyyy)	(/ /	,		
				EGM approval date: (dd/mm/yyyy)	(/ /)	NI/A	N1/A
							<u>N/A</u>	N/A
				Class of shares repurchased (Note 1)				
6.	Repurchase of shares			Cancellation date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)	N/A	N/A
							N/A	IWA
				Class of shares redeemed (Note 1)				
7.	Redemption of shares			Redemption date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				(33)			<u>N/A</u>	N/A
				Class of shares issuable (Note 1)				
8.	Consideration issue	At price :	State currency ———	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				(N/A	N/A

Туре	e of Issue						No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
		Class of shares						
		issuable (Note 1)						
	pital rganisation	Issue and allotment date : (dd/mm/yyyy)	(/	/)		
		EGM approval date: (dd/mm/yyyy)	(/	/)		
		(dd/IIIII/yyyy)					N/A	N/A
		Class of shares issuable (Note 1)						
10. Oth (Ple	ner At price : State currency	Issue and allotment date : (dd/mm/yyyy)	(/	/)		
		EGM approval date: (dd/mm/yyyy)	(/	/)	N/A	N/A
		Total	E.	(dinar		
		(Prefe	erer	nce) <u>N/A</u>) N/A	-
		,) N/A	- -
Total in	ncrease / (decrease) in ordinary shares duri	ng the month (i.e. Total o	of A	\ to	E)	: (1) 0	
						(2	2) N/A	
Total in	ncrease / (decrease) in preference shares d	uring the month (i.e. Tot	tal c	of A	to	E):	N/A	
	ncrease / (decrease) in other classes of sha	res during the month (i.e	e. T	ota	al of	f A to	N/A	
E): (These Capita	e figures should be the same as the relevan	t figures under II above	("M	lov	em	ents	in Issued SI	nare

	Remarks (if any):
Title:Director	Please refer to the announcement of the Company dated 6 July 2016 for further details.
Title:Director	
	Submitted by: _Zhong Sheng
(Director, Secretary or other duly authorised officer)	
	(Director, Secretary or other duly authorised officer)

Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.