

For the month ended

Monthly Return of Equity Issuer on Movements in Securities

(dd/mm/yyyy) :		31/12	/2016		
To : Hong Kong Exchanges	and Clea	ıring Limi	ted		
Name of Issuer Date Submitted	Essex B		ology Lim	ited	
Date Submitted	4/ 1/201	<u> </u>			
I. Movements in Authorised	Share Ca	apital			
1. Ordinary Shares					
(1) Stock code : <u>1061</u>	Descrip	otion :	Essex Bi	o-Technolog	y Limited
			ordinary ares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of precedir	g month	1,000,00	0,000	HK\$0.10	HK\$100,000,000
Increase/(decrease)		NIL			NIL
Balance at close of the mon	th	1,000,00	0,000	HK\$0.10	HK\$100,000,000
(2) Stock code : N/A	Descrip	otion :	N/A		
			ordinary ares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding	g month				
Increase/(decrease)					
Balance at close of the mon	th				

2. Preference Shares				
Stock code : N/A Descrip	tion :	N/A		
	prefe	o. of rence ares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month_				
Increase/(decrease)				
Balance at close of the month				_
3. Other Classes of Shares				
Stock code : N/A Descrip	tion :	N/A		
	class	f other ses of ares	Par value (State currency)	capital
Balance at close of preceding month_				
Increase/(decrease)				
Balance at close of the month				
Total authorised share capital at the e currency):	nd of the	e month (State HK\$10	00,000,000

II. Movements in Issued Share Capital

	No. of ordir	nary shares	No of preference	No. of other		
	(1) (2)		shares	classes of shares		
Balance at close of preceding month	562,149,000	N/A	N/A	N/A		
Increase/ (decrease) during the month	0	N/A	N/A	N/A		
Balance at close of the month	562,149,000	N/A	N/A	N/A		

III. Details of Movements in Issued Share Capital

Particulars of share option scheme including EGM approval date (dd/mm/yyyy) and class of shares issuable Granted Exercised Cancelled Lapsed 1. Share Option Scheme approved at the AGM (3/5/2013) Ordinary Shares (Note 1) 2. N/A	Share Options (und	er Share Opt	ion Schemes c	of the Issuer)			
scheme including EGM approval date (dd/mm/yyyy) and class of shares issuable Granted Exercised Cancelled Lapsed 1. Share Option Scheme approved at the AGM (3/5/2013) Ordinary Shares (Note 1) 2. N/A (I) Shares (Note 1) 3. N/A (I) Shares (Note 1) Total A. (Ordinary shares) (Other class) (Preference shares) (Other class) (Other class)							
including EGM approval date (dd/mm/yyyy) and class of shares issuable Granted Exercised Cancelled Lapsed 1. Share Option Scheme approved at the AGM (3/5/2013) Ordinary Shares (Note 1) 2. N/A (1/1/1) shares (Note 1) 3. N/A (1/1/1) shares (Note 1) Total A. (Ordinary shares) O Concelled (Other class) N/A (Other class) N/A							
approval date (dd/mm/yyyy) and class of shares issuable							
(dd/mm/yyyy) and class of shares		1	Movement durii	ng the month		thereto	
Class of shares Issuable						_	the month
I. Share							
1. Share		Crontod	Eversional	Consolled	امممما		
Option Scheme approved at the AGM		Granted	Exercised	Cancelled	Lapsed		
approved at the AGM (3/5/2013)							
the AGM							
Continuing Shares O							
Ordinary Shares 0 0 0 0 18,901,000 2. N/A	the AGM						
(Note 1) 2. N/A (/ /)	(3/ 5/ 2013)						
2. N/A (/ / /) shares (Note 1) 3. N/A (/ / /) shares (Note 1) Total A. (Ordinary shares) (Preference shares) (Other class) N/A (Other class)	Ordinary Shares	0	0	0	0	0	18,901,000
((Note 1)						
(
(Note 1)	2. N/A						
(Note 1)							
(Note 1)							
(Note 1)							
(Note 1)							
(Note 1)	chorec						
3. N/A (/ /)							
	(Note 1)						
	0 11/4						
(Note 1) Total A. (Ordinary shares) 0 (Preference shares) N/A (Other class) N/A	3. N/A						
(Note 1) Total A. (Ordinary shares) 0 (Preference shares) N/A (Other class) N/A							
(Note 1) Total A. (Ordinary shares) 0 (Preference shares) N/A (Other class) N/A							
(Note 1) Total A. (Ordinary shares) 0 (Preference shares) N/A (Other class) N/A							
(Note 1) Total A. (Ordinary shares) 0 (Preference shares) N/A (Other class) N/A	(/ /)						
(Note 1) Total A. (Ordinary shares) 0 (Preference shares) N/A (Other class) N/A	shares						
Total A. (Ordinary shares) 0 (Preference shares) N/A (Other class) N/A							
(Preference shares) N/A (Other class) N/A	, ,		Tota	I A. (Ordina	ry shares)	0	
(Other class) N/A							
`							
	Total funds raised d	uring the mo	nth from exerci	•	2. 2.0.00)	<u> </u>	
	of options (State currency)				HK\$0		
	c. spilolio (ciato da	,			ψο		

Description of warrants (Date of expiry - dd/mm/yyyy) 1. N/A	Currency of nominal value	Nomina at clo prece	al value se of eding	Exercised during the month	Nominal value at close of the month	•	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. IVA							
(/ /) Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date							
(if applicable) (dd/mm/yyyy)	(/ /)					
2. N/A		,					
Class of shares							
issuable (Note 1) Subscription price EGM approval date (if applicable)							
(dd/mm/yyyy) 3. N/A	(/ /)					
							-
Class of shares							
EGM approval date (if applicable)							
(dd/mm/yyyy) 4. N/A	(/ /)					
(/ /) Stock code (if listed)							
· · · · · · · · · · · · · · · · · · ·							
Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	(/ /)					
			Total B.	(Preferen	ary shares) ce shares) ther class)	N/A N/A N/A	- -

Convertibles (i.e. Convertible	into Shares of the	e Issuer whi	ch are to be Liste	ed)		
Class and description 1. Convertible Loan	Currency of amount outstanding	Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
(see Remarks)						
(GGG TGHIAING)	<u> </u>					
	— HK\$	0	Nil	0	Nil	25,423,728
Stock code (if listed)						20,120,120
Class of shares issuable (Note 1) Subscription price EGM approval date	Ordinary Shares HK\$5.90					
(if applicable) (dd/mm/yyyy))	Not applicable					
2. N/A	 					
Otania and Citizata D						
Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date (if applicable)		_				
(dd/mm/yyyy) 3. N/A	(/ /) - - -					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy) 4. N/A	(/ /) 					
Stock code (if listed) Class of shares						
issuable (Note 1) Subscription price EGM approval date (if applicable)						
(dd/mm/yyyy)	(/ /)			ry shares) ce shares) ther class)		- -

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Full	I particulars including EGM approval date (dd/mm/yyyy), pplicable, and class of shares issuable: N/A	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	(/ /) shares (Note 1)		
-	Silales (Note 1)		
2.	N/A		
-			
	(/ /)		
-	shares (Note 1)		
3.	N/A		
-			
-	(/ /)		
	shares (Note 1)		
-	Total D. (Ordinary shares)	N/A	
-	(Preference shares)	N/A	
-	(Other class)	N/A	

Ot	her Movements	in Issued Sha	are Capital				NI C	N (
	Type of Issue						No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as a close of the month
	1 ypc or 1000c							
				Class of shares issuable (Note 1)				
1.	Rights issue	At price :	State currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				· · · · · · · · · · · · · · · · · · ·			N/A	N/A
				Class of shares issuable (Note 1)				
2.	Open offer	At price :	State currency	Issue and allotment date: (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
							<u>N/A</u>	N/A
				Class of shares issuable (Note 1)				
3.	Placing	At price :	State currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				(dd/iiiii/yyyy)			N/A	N/A
				Class of shares issuable (Note 1)				
4.	Bonus issue			Issue and allotment date: (dd/mm/yyyy)	(/ /)		
				EGM approval date:	(/ /)		
				(dd/mm/yyyy)			N/A	N/A

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	Type of Issue						No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	- 7/			Class of shares				
			State ——	issuable (Note 1)	(/ /	١		
5.	Scrip dividend	At price :	State currency	Issue and allotment date : (dd/mm/yyyy)	(/ /	,		
				EGM approval date: (dd/mm/yyyy)	(/ /)	NI/A	N1/A
							<u>N/A</u>	N/A
				Class of shares repurchased (Note 1)				
6.	Repurchase of shares			Cancellation date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)	N/A	N/A
							N/A	IWA
				Class of shares redeemed (Note 1)				
7.	Redemption of shares			Redemption date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				(44)			N/A	N/A
				Class of shares issuable (Note 1)				
8.	Consideration issue	At price :	State currency ———	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
				EGM approval date: (dd/mm/yyyy)	(/ /)		
				(N/A	N/A

Type of Issue		No. of new shares of issuer issued during the month pursuant thereto	shares of issuer which may be
	Class of shares		
	issuable (Note 1)		
Capital reorganisation	Issue and allotment (/ / date : (dd/mm/yyyy))	
	EGM approval date: (/ /)	
	(dd/mm/yyyy)	N/A	N/A
	Class of sharesissuable (Note 1)	_	
10. Other (Please specify) At price : State currency	Issue and allotment (/ / date : (dd/mm/yyyy))	
	EGM approval date: (/ / (dd/mm/yyyy)) <u>N/A</u>	N/A
	Total E. (Ord		
	sha Preference sha	ares) <u>N/A</u> ares) N/A	_
		lass) N/A	_
Total increase / (decrease) in ordinary shares du	ring the month (i.e. Total of A to E):	(1) 0	
		(2) N/A	
Total increase / (decrease) in preference shares	during the month (i.e. Total of A to E	E): N/A	
Total increase / (decrease) in other classes of shall E):	ares during the month (i.e. Total of	A to N/A	
(These figures should be the same as the releval Capital").)	nt figures under II above ("Moveme	ents in Issued S	hare

Remarks (if any):							
Please refer to the announcement of the Company dated 6 July 2016 for further details.							
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Submitted by: _Zhong Sheng							
Submitted by: Zhong Sheng							
Title: Director							
(Director, Secretary or other duly authorised officer)							
(Director, decretary or other daily authorised officer)							

Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.