

## Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy) :		31/1/	2017		
To : Hong Kong Exchanges	and Clea	aring Lim	ited		
Name of Issuer Date Submitted	Essex E 3/2/201		nology Lim	ited	
I. Movements in Authorised	Share Ca	apital			
1. Ordinary Shares					
(1) Stock code : <u>1061</u>	Descri	ption :	Essex Bi	o-Technolog	y Limited
			ordinary ares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of precedir	ng month	1,000,00	00,000	HK\$0.10	HK\$100,000,000
Increase/(decrease)		NIL			NIL
Balance at close of the mon	th	1,000,00	00,000	HK\$0.10	HK\$100,000,000
(2) Stock code : N/A	Descri	ption :	N/A		
			ordinary ares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of precedir	ng month				
Increase/(decrease)					
Balance at close of the mon	th				

	Shares				
Stock code :	N/A	_Description:	N/A		
		pre	lo. of ference hares	Par value (State currency)	capital
Balance at clos	e of preceding	g month			
ncrease/(decre	ease)			_	
Balance at clos	e of the month	n			
3. Other Classe	es of Shares				
Stock code :	N/A	_Description :	N/A		
		No	of other	Par value	Authorised share
		cla	sses of hares	(State currency)	capital
Balance at clos	e of precedinç	cla: sl	sses of	•	capital
Balance at clos Increase/(decre		cla: sl	sses of	•	capital
	ease)	cla: sl g month 	sses of	•	capital

## II. Movements in Issued Share Capital

	No. of ordinary shares (1) (2)		No of preference	No. of other		
			shares	classes of shares		
Balance at close of preceding month	562,149,000	N/A	N/A	N/A		
Increase/ (decrease) during the month	0	N/A	N/A	N/A		
Balance at close of the month	562,149,000	N/A	N/A	N/A		

## III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Particulars of share option scheme of share option scheme scheme including EGM approval date (dd/mm/yyyy) and

No. of new shares of No. of new shares of issuer issued during issuer which may be the month pursuant issued pursuant thereto as at close of the month

scheme					the month pursuant	issued pursuant
including EGM	1	Movement duri	ng the month		thereto	thereto as at close of
approval date					_	the month
(dd/mm/yyyy) and class of shares						
issuable	Granted	Exercised	Cancelled	Lapsed		
1. Share						
Option Scheme						
approved at						
the AGM						
(3/5/2013)						
Ordinary Shares	0	0	0	0	0	18,901,000
(Note 1)						
2. N/A						
( / / )						
shares						
(Note 1)						
O NI/A						
3. N/A						
shares						
(Note 1)	_					-
(14016-1)		Tota	al A. (Ordina	rv shares)	0	
		1016		ce shares)		
				ther class)	N/A	
Total funds raised d	uring the mo	nth from exerc	•		1 4/7 1	
of options (State cu		THE HOLL CACIO	100	HK\$0		
' '	• /		·	•	<del></del>	

Warrants to Issue Shares of the	e Issuer which	are to b	e Listed				
Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of nominal value	at clo	al value ose of eding onth	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A							
( / / ) Stock code (if listed) Class of shares issuable (Note 1)							
Subscription price							
EGM approval date (if applicable) (dd/mm/yyyy)	( / /	)					
2. N/A							
							_
( / / ) Stock code (if listed) Class of shares							
issuable (Note 1)							
Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	( / /	)					
3. N/A	,	,					
( / / ) Stock code (if listed)			<del></del> -				_
Class of shares issuable (Note 1)							
Subscription price							
EGM approval date (if applicable)	, , ,	,					
(dd/mm/yyyy) 4. N/A	( / /	)					
( / / )							
Stock code (if listed) Class of shares							
issuable (Note 1) Subscription price							
EGM approval date (if applicable)							
(if applicable) (dd/mm/yyyy)	( / /	)					
			Total B	. (Ordina	ary shares)	N/A	
				(Preferen	ce shares)	N/A	<del>-</del> -
				(O	ther class)	N/A	=

Convertibles (i.e. Convertible	e into Shares of the	e Issuer whi	ch are to be Liste	ed)		
Class and description  1. Convertible Loan	Currency of amount outstanding	Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
(see Remarks)	<u></u>					
(See Remarks)						
-	 HK\$	0	Nil	0	Nil	25,423,728
Stock code (if listed)	N/Α					20,420,720
Class of shares issuable (Note 1) Subscription price EGM approval date	Ordinary Shares HK\$5.90					
(if applicable) (dd/mm/yyyy))	Not applicable					
2. N/A	 					
	<u></u>					
Stock code (if listed)						
Class of shares issuable (Note 1) Subscription price		<u> </u>				
EGM approval date (if applicable) (dd/mm/yyyy)	( / / )					
3. N/A	<u> </u>					
Ota al. and a ('f linta d')						-
Stock code (if listed) Class of shares issuable (Note 1)						
Subscription price EGM approval date						
(if applicable)	( / / )					
4. N/A	<u> </u>					
0.1.1.2.2.2	 					
Stock code (if listed) Class of shares issuable (Note 1)						
Subscription price EGM approval date (if applicable)	, , , ,					
(dd/mm/yyyy)	( / / )		Total C. (Ordina	arv shares)	0	
				ce shares)	_	_ _
			(O	ther class)	N/A	_

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Ful if a	I particulars including EGM approval date (dd/mm/yyyy), pplicable, and class of shares issuable: N/A	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	( / / ) shares (Note 1)		
2.	N/A		
	( / / ) shares (Note 1)		
3.	N/A		
	( / / ) shares (Note 1)		
	Total D. (Ordinary shares) (Preference shares)	N/A N/A	
	(Other class)	N/A	· ·

Ot	her Movements  Type of Issue	ııı issued Sh	аге Сарітаі				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as a close of the month
1.	Type of Issue Rights issue	At price :	State currency	Class of shares issuable (Note 1)  Issue and allotment date: (dd/mm/yyyy)  EGM approval date: (dd/mm/yyyy)	( / /	)	N/A	N/A
2.	Open offer	At price :	State currency	Class of shares issuable (Note 1)  Issue and allotment date: (dd/mm/yyyy)  EGM approval date: (dd/mm/yyyy)	( / /	)	N/A	N/A
3.	Placing	At price :	State currency	Class of shares issuable (Note 1)  Issue and allotment date: (dd/mm/yyyy)  EGM approval date: (dd/mm/yyyy)	( / /	ŕ	N/A	N/A
4.	Bonus issue			Class of shares issuable (Note 1)  Issue and allotment date: (dd/mm/yyyy)  EGM approval date: (dd/mm/yyyy)	( / /	)	N/A	N/A

	Type of Issue						No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
				Class of shares				
			State	issuable (Note 1)	( / /	)		
5.	Scrip dividend	At price :	currency	Issue and allotment date : (dd/mm/yyyy)				
				EGM approval date: (dd/mm/yyyy)	( / /	)	N/A	N/A
							N/A	IWA
				Class of shares repurchased (Note 1)				
6.	Repurchase of shares			Cancellation date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)	N/A	N/A
							N/A	IWA
				Class of shares redeemed (Note 1)				
7.	Redemption of shares			Redemption date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
				(dammyyyy)			N/A	N/A
				Class of shares issuable (Note 1)				
8.	Consideration issue	At price :	State currency ———	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
				(33,, 33,33)			N/A	N/A

	Type of Issue						No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
		Class of shares issuable (Note 1)						
9.	Capital reorganisation	Issue and allotment date : (dd/mm/yyyy)	(	/	/	)		
		EGM approval date: (dd/mm/yyyy)	(	/	/	)	N/A	N/A
		Class of shares issuable (Note 1)						
10.	Other (Please specify) At price : State currency	Issue and allotment date : (dd/mm/yyyy)	(	/	/	)		
		EGM approval date: (dd/mm/yyyy)	(	/	/	)	N/A	N/A
		Total	Ε.	(		dinar		
		(Prefe	erei	nc			) <u>N/A</u> ) N/A	-
		`					) N/A	-
То	tal increase / (decrease) in ordinary shares duri	ng the month (i.e. Total o	of A	\ tc	Ε	): (1	·	
						(2		
	tal increase / (decrease) in preference shares d	,				,	N/A	
To	tal increase / (decrease) in other classes of sha :	res during the month (i.e	э. Т	ota	al c	t A to	N/A	
(T	hese figures should be the same as the relevan apital").)	t figures under II above	("M	1ov	⁄en	nents	in Issued SI	nare

Remarks (if any):							
Please refer to the announcement of the Company dated 6 July 2016 for further details.							
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<del></del>							
Cubmitted by 7 Thong Chang							
Submitted by: Zhong Sheng							
Title: Director							
(Director, Secretary or other duly authorised officer)							
(Director, Decretary or other duty administration)							

## Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.