

For the month ended

## Monthly Return of Equity Issuer on Movements in Securities

(dd/mm/yyyy) :		31/1/2	2018		
To : Hong Kong Exchanges and Clearing Limited					
Name of Issuer Date Submitted		nology Lim	ited		
Date Submitted	5/2/2018	)			
I. Movements in Authorised	Share Ca	pital			
1. Ordinary Shares					
(1) Stock code : <u>1061</u>	_Descrip	otion :	Essex Bi	o-Technolog	y Limited
			ordinary ares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of precedir	g month	1,000,	000,000	HK\$0.10	HK\$100,000,000
Increase/(decrease)	-	NIL			NIL
Balance at close of the mon	th _	1,000,	000,000	HK\$0.10	HK\$100,000,000
(2) Stock code : N/A	_Descrip	otion :	N/A		
			ordinary ares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding	g month				
Increase/(decrease)	-				
Balance at close of the mon	th _				

2. Preference Shares				
Stock code : N/A Descrip	tion :	N/A		
	prefe	o. of rence ares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month_				
Increase/(decrease)				
Balance at close of the month				_
3. Other Classes of Shares				
Stock code : N/A Descrip	tion :	N/A		
	class	f other ses of ares	Par value (State currency)	capital
Balance at close of preceding month_				
Increase/(decrease)				
Balance at close of the month				
Total authorised share capital at the e currency):	nd of the	e month (	State HK\$10	00,000,000

## II. Movements in Issued Share Capital

	No. of ordir	No. of ordinary shares No of prefer		No. of other		
	(1)			classes of shares		
Balance at close of preceding month	563,493,000	N/A	N/A	N/A		
Increase/ (decrease) during the month	0	N/A	N/A	N/A		
Balance at close of the month	563,493,000	N/A	N/A	N/A		

## III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer)

Particulars of Share option Schemes of the Issuer Share option Scheme Scheme

approval date
(dd/mm/yyyy) and
class of shares
issuable Granted Exercised Cancelled Lapsed

1. Share
Option Scheme
approved at
the AGM
( 3/ 5/ 2013)

 Ordinary Shares
 0
 0
 0
 0
 0
 22,557,000

 (Note 1)
 0
 0
 0
 0
 0
 22,557,000

N/A

shares

(Note 1)

3. N/A

( / / ) shares

(Note 1)

Total A. (Ordinary shares) \_ (Preference shares)

rence shares) N/A (Other class) N/A

the month

Total funds raised during the month from exercise of options (State currency)

HK\$0

Warrants to Issue Shares of the	e Issuer which	are to b	e Listed				
Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of nominal value	at clo	al value ose of eding onth	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A							
( / / ) Stock code (if listed) Class of shares							
issuable (Note 1)							
Subscription price EGM approval date (if applicable) (dd/mm/yyyy)	( / /	`					
2. N/A	( / /	,					
							<u>.</u>
( / / ) Stock code (if listed)							
Class of shares							
issuable (Note 1)							
Subscription price EGM approval date							
(if applicable)	, , ,	,					
(dd/mm/yyyy) 3. N/A	( / /	)					
<u>. 14/71</u>							
( / / )							
Stock code (if listed) Class of shares							
issuable (Note 1)							
Subscription price EGM approval date							
(if applicable)							
	( / /	)					
4. N/A							
( / / )							
Stock code (if listed) Class of shares							
icayabla (Nota 1)							
Subscription price							
EGM approval date (if applicable)							
(dd/mm/yyyy)	( / /	)					
			Total B	(Ordina	ary shares)	N/A	
			.o.a. D		ce shares)	N/A	_
				•	ther class)	N/A	<del>-</del> -

Convertibles (i.e. Convertible	into Shares of the	e Issuer whi	ch are to be Liste	ed)		
Class and description  1. Convertible Loan	Currency of amount outstanding	Amount at close of preceding month	Converted during the month	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
(see Remarks)						
	<u> </u>					
	— HK\$	0	Nil	0	Nil	25,423,728
Stock code (if listed)						20,120,120
Class of shares issuable (Note 1) Subscription price EGM approval date	Ordinary Shares HK\$5.90					
(if applicable) (dd/mm/yyyy))	Not applicable					
2. N/A	_ _ _					
Otania and Citizata D						
Stock code (if listed) Class of shares issuable (Note 1) Subscription price EGM approval date		<u> </u>				
(if applicable) (dd/mm/yyyy)	<u>( / / )</u>					
Ctook and (if linted)	<del>-</del> - 					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)  4. N/A	( / / )					
	_ _ 					
Stock code (if listed) Class of shares issuable (Note 1) Subscription price						
EGM approval date (if applicable) (dd/mm/yyyy)	( / / )	_	Total C. (Ordina	ury sharec)	0	
				ce shares)	0 N/A	_
				ther class)		- -

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Ful if a	I particulars including EGM approval date (dd/mm/yyyy), pplicable, and class of shares issuable: N/A	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	( / / ) shares (Note 1)		
2.	N/A		
	( / / ) shares (Note 1)		
3.	N/A		
	( / / ) shares (Note 1)		
	Total D. (Ordinary shares) (Preference shares)	N/A N/A	
	(Other class)	N/A	

Ot	ther Movements	in Issued Sha	are Capital				No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the
	Type of Issue							month
				Class of shares issuable (Note 1)				
1.	Rights issue	At price :	State currency	Issue and allotment date: (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
				· · · · · · · · · · · · · · · · · · ·			N/A	N/A
				Class of shares issuable (Note 1)				
2.	Open offer	At price :	State	Issue and allotment	( / /	)		
			currency	date : (dd/mm/yyyy)  EGM approval date:	( / /	)		
				(dd/mm/yyyy)			N/A	N/A
				Class of shares				
				issuable (Note 1)				
3.	Placing	At price :	State currency	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
				(			N/A	N/A
				Class of shares				
4.	Bonus issue			issuable (Note 1)  Issue and allotment date: (dd/mm/yyyy)	( / /	)		
				EGM approval date:	( / /	)		
				(dd/mm/yyyy)			N/A	N/A

	<b>T</b>						No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of Issue							monu
				Class of shares issuable (Note 1)				
5.	Scrip dividend	At price :	State currency	Issue and allotment date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)	N1/A	NI/A
							<u>N/A</u>	N/A
				Class of shares repurchased (Note 1)				
6.	Repurchase of shares			Cancellation date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
							<u>N/A</u>	N/A
				Class of shares redeemed (Note 1)				
7.	Redemption of shares			Redemption date : (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
							<u>N/A</u>	<u>N/A</u>
				Class of shares issuable (Note 1)				
8.	Consideration issue	At price :	State currency ———	Issue and allotment date: (dd/mm/yyyy)	( / /	)		
				EGM approval date: (dd/mm/yyyy)	( / /	)		
				(* **			N/A	N/A

Type of	Issue							No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
			Class of	shares					
			issuable (Note	1)					
9. Capital reorgan	nisation		Issue and allot date : (dd/mm/		(	/ /	)		
			EGM approval (dd/mm/yyyy)	date:	(	/ /	)		
			(dd/IIIII/yyyy)					N/A	N/A
			Class of issuable (Note	shares	_				
10. Other (Please	specify) At price :	State currency	Issue and allot date : (dd/mm/		(	/ /	)		
			EGM approval (dd/mm/yyyy)	date:	(	/ /	)	N/A	N/A
				Total	E.		Ordina		
				(Prefe	erer			s) <u>N/A</u> s) <u>N/A</u>	_
					(C	Othe	r clas	s) N/A	-
Total incre	ase / (decrease) in o	ordinary shares duri	ng the month (i.	e. Total o	of A	to	E): (	1) 0	
							(	2) N/A	
Total incre	ase / (decrease) in p	oreference shares d	uring the month	(i.e. Tota	al o	f A	to E):	N/A	
Total incre E):	ase / (decrease) in o	other classes of sha	res during the m	nonth (i.e	e. To	otal	of A to	N/A	
	ures should be the s	same as the relevan	t figures under l	l above (	("M	ove	ments	in Issued Si	hare

Remarks (if any):
Please refer to the announcement of the Company dated 6 July 2016 for further details.
<del>-</del>
Submitted by: _Zhong Sheng
Submitted by: Zhong Sheng
Title: Director
(Director, Secretary or other duly authorised officer)
(Director, decretary or other day authorised officer)

## Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. If there is insufficient space, please append the prescribed continuation sheet.